



UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 17 2002

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/098,631         | 03/18/2002          | Yukitoshi Marutani    | 925-227                |

CONFIRMATION NO. 3720

## FORMALITIES LETTER



\*OC000000007863306\*

 NIXON & VANDERHYE P.C.  
 8th Floor  
 1100 North Glebe Road  
 Arlington, VA 22201

Date Mailed: 04/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

06/20/2002 JBALINAH 00000001 10098631

|           |           |
|-----------|-----------|
| 01 FC:101 | 740.00 OP |
| 02 FC:105 | 130.00 OP |
| 03 FC:139 | 130.00 OP |

A copy of this notice **MUST** be returned with the reply.

10098631-061702

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025-01-01 10:00:00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Attention: Application Branch

MARUTANI et al.

Atty. Dkt. 925-227

Serial No. 10/098,631

Filed: March 18, 2002

Date: June 17, 2002 (Monday)

For: POINT EMITTING LED AND CONDENSED POINT EMITTING LED

Assistant Commissioner for Patents  
Washington, D.C. 20231The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record the attached assignment and return to the undersigned.  
☒ Attached is a Power of Attorney.  
☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:
- | Application Number | Country | Day/Month/Year Filed |
|--------------------|---------|----------------------|
| P 2001-080593      | Japan   | 21 March 2001        |
| P 2001-227386      | Japan   | 27 July 2001         |
| P 2002-070106      | Japan   | 14 March 2002        |

respectively.

- ☒ Certified copy(ies) of foreign application(s) is/are attached.  
☐ Certified copy(ies) filed on \_\_\_\_\_ in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.  
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.  
☒ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.  
☐ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid  
**Sequence Submission**; ☐ Other:

Fees due are calculated below:

|  |    |        |   |      |                                |             |
|--|----|--------|---|------|--------------------------------|-------------|
| Basic filing fee   |    |        |   |      | \$                             | 740.00      |
| Total Effective claims   | 11 | - 20 = | 0 | x \$ | 18.00                          | \$ 0.00     |
| Independent claims   | 3  | - 3 =  | 0 | x \$ | 84.00                          | \$ 0.00     |
| For any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)  |    |        |   |      |                                | \$ 0.00     |
|  |    |        |   |      | <b>FILING FEE</b>              | \$ 740.00   |
| Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months) |    |        |   |      |                                | \$ 0.00     |
| Surcharge (\$130.00) if Declaration or filing fee first now submitted  |    |        |   |      |                                | \$ 130.00   |
| English translation of specification and claims (\$130.00)   |    |        |   |      |                                | \$ 130.00   |
|  |    |        |   |      | <b>FIRST SUBTOTAL</b>          | \$ 1,000.00 |
| If "small entity," enter half (1/2) of subtotal and subtract   |    |        |   |      | -\$                            | 0.00        |
|  |    |        |   |      | <b>SECOND SUBTOTAL</b>         | \$ 1,000.00 |
| Assignment Recording Fee (\$40.00)   |    |        |   |      |                                | \$ 40.00    |
|  |    |        |   |      | <b>TOTAL FEE DUE</b>           | \$ 1,000.00 |
|  |    |        |   |      | Check enclosed (Pre-Bill)*     | \$ 910.00   |
|  |    |        |   |      | Check enclosed (non Pre-Bill)* | \$ 130.00   |
|  |    |        |   |      | <b>TOTAL FEE ENCLOSED</b>      | \$ 1040.00  |

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

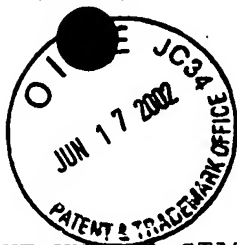
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NIXON &amp; VANDERHYE P.C.

By Atty: Updeep S. Gill, Reg. No. 37,334

Signature: \_\_\_\_\_

\*For N&amp;V internal accounting purposes



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Yukitoshi MARUTANI et al. :  
Serial No. 10/098,631 : Attn: BOX MISSING PARTS  
Filed March 18, 2002 : Docket No. 925-227  
POINT EMISSION TYPE LIGHT :  
EMITTING ELEMENT AND  
CONCENTRATING POINT EMISSION  
TYPE LIGHT EMITTING ELEMENT

TRANSLATOR'S DECLARATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

I, Keiichi GONJO, declare:

that I am thoroughly familiar with both the Japanese and English languages;

that the attached document represents a true English translation of U.S. Patent Application Serial No. 10/098,631 filed March 18, 2002; and

that I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

June 12, 2002

DATE

*Keiichi Goyjo*

TRANSLATOR'S SIGNATURE

20020613-114200